



भारत का राजपत्र **The Gazette of India**

SECURITIES AND EXCHANGE BOARD OF INDIA

NOTIFICATION

Mumbai, the 21st of January, 2013

SECURITIES AND EXCHANGE BOARD OF INDIA

(INVESTMENT ADVISERS) REGULATIONS, 2013

Qualification and certification requirement:

(2) An individual registered as an investment adviser and partners and representatives of investment advisers registered under these regulations offering investment advice shall have, at all times, a certification on financial planning or fund or asset or portfolio management or investment advisory services:

(a) from NISM; or

(b) from any other organization or institution including Financial Planning Standards Board India or any recognized stock exchange in India provided that such certification is accredited by NISM;